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PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/715,607

Filing Date November 18, 2003

First Named Inventor Rosen et al.

Art Unit TBA

Examiner Name TBA

Attorney Docket Number 103095-41585

**ENCLOSURES (Check all that apply)**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name Gibbons, Del Deo, Dolan, Griffinger and Vecchione

Signature

Printed name William H. Epstein

Date November 22, 2004

Reg. No. 20,008

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

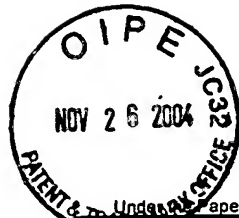
Signature

Typed or printed name Judith A. Williams-Matthew

Date November 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/715,607
Filing Date	November 18, 2003
First Named Inventor	Rosen et al.
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	103095-41585

Sheet \_\_\_\_\_ of \_\_\_\_\_

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1 <sup>o</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
		WO 03/044056	05-30-2003	Pharmacia		
		WO 02/059179	08-01-2002	Shearwater	Page 4, lines 17-33	
					Pages 19, lines 24 through	
					pages 20, line 3.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No: 103095-41585

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:	:	Examiner: TBA
<b>Perry Rosen and Kwang Nho</b>	:	
	:	
Serial No.: 10/715,607	:	Group Art Unit: TBA
	:	
Filed: November 18, 2003	:	
	:	
For: Novel Monofunctional Polyethylene	:	
<b>Glycol Aldehydes</b>	:	
	:	
<hr/>		
Commissioner of Patent		
P.O. Box 1450		
Alexandria, VA 22313-1450		

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §1.97-1.99, applicants and their attorneys respectfully request that the reference cited on the attached Form PTO 1449 be made of record in the official United States Patent and Trademark Office file for the above-identified application.

Copies of the references are submitted herewith along with the completed form PTO-1449. The references listed on attached Form PTO-1449 were set forth in the PCT Search Report mailed by the PCT Patent Office on November 5, 2004. A copy of the PCT Search Report is enclosed herewith.

The citations listed on Form PTO-1449 do not constitute an admission that the references are relevant or material to the claims.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart PCT application not more than 30 days prior to the filing of this statement, namely on November 5, 2004. Consequently, no fee is due.

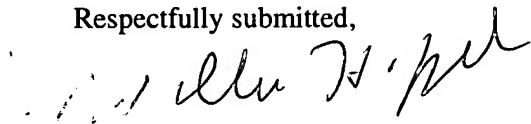
Pursuant to Rule 37 C.F.R. § 1.97(b), an Information Disclosure Statement shall be considered by the Patent Office if filed before the mailing date of the first Official Action on the merits. Thereafter, an Information Disclosure Statement shall be considered if it is accompanied by the requisite fee set forth in 37 C.F.R. § 1.17. As this Information Disclosure Statement is being filed prior to the receipt of a first Official Action on the merits, no fee is required. Finally, the Commissioner is authorized to charge any additional fee or credit any overpayment in such fees to Deposit Account No. 03-3839.

Applicants respectfully request that the Examiner consider and make of record the documents cited herein. Applicants further request that a copy of the Form PTO-1449, appropriately initialed by the Examiner, be returned to Applicant's attorney.

If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact applicant's attorney at the telephone number set forth below.

Please address all correspondence to the correspondent address for Customer No. 26345 of Gibbons, Del Deo, Dolan, Griffinger & Vecchione. Telephone calls should be made to William H. Epstein by dialing Area Code (973) 596-4500.

Respectfully submitted,



William H. Epstein  
Reg. No. 20,008  
Attorney for Applicant

Date: June 23, 2003

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